

Investment Bank

<u>Maybank Investment Bank Berhad ("Maybank IB") Anti-Bribery and Corruption Policy</u> Statement

Maybank IB is committed to conduct its business dealings with integrity. To achieve this commitment, Maybank IB adopts a zero tolerance approach against all forms of bribery and corruption in carryingout its daily operations. Maybank IB complies with the Malaysian Anti-Corruption Commission Act 2009, as well as other laws and regulations in the countries where we operate.

Maybank IB has put in place an Anti-Bribery and Corruption ("AB&C") framework which includes policies, procedures, pre-approval processes, risk assessments, due diligence on third parties and associated persons*, and employee training programme.

The Maybank IB Anti-Bribery & Corruption Policy ("AB&C Policy") sets out the guiding principles for Maybank IB to address and manage bribery and corruption risks in all its dealings within and outside Maybank IB. Compliance to the AB&C Policy is mandatory and Maybank IB, its directors and employees are to strictly comply with the following principles:

- Giving or receiving bribes or participate in any form of bribery and corruption in its business dealings is strictly prohibited;
- The engagements of third parties and associated persons, and dealings with customers and third parties and associated persons must not be for the purpose of inducing or obtaining or retaining business, gaining an unfair advantage or influencing a government or regulatory decision for Maybank IB or for personal gain;
- The giving and receiving of gifts and entertainment, including donations and sponsorships, whether directly or indirectly with the intention to bribe, to retain or gain a business advantage, or for personal gain is strictly prohibited;
- Actual or potential conflict of interest which could result in actual or potential bribery and corruption risk to Maybank IB is prohibited; and
- Directors and employees are strictly prohibited from making facilitation payments.

Associated persons performing services for or on behalf of Maybank IB are also required to comply with the above principles where relevant.

*A person is associated with Maybank IB if the person is a partner or agent of Maybank IB or if the person performs services for or on behalf of Maybank IB.